

Please type a plus sign (+) inside this box

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

PC10782A

First Named Inventor or Application Identifier

S. McLean

Title

USE OF DELTA OPIOID RECEPTOR LIGANDS AND  
SEROTONIN REUPTAKE INHIBITORS IN THE  
TREATMENT OF CHEMICAL DEPENDENCIES

(Only for new nonprovisional applications under 37C.F.R. §1.53(b))

Express Mail Label No.

EL446402275US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference in Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 11.3) [Total sheets ]
4. ☒ Oath or Declaration [Total pages 3]
- a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered to be part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies
8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ \*Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Priority Claim  
60/217,548  
July 12, 2000

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

Prior application information:

Examiner

Group/Art Unit:

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Paul H. Ginsburg					
Address	Pfizer Inc					
Address	235 East 42nd Street, 20th Floor					
City	New York	State	New York	Zip Code	10017-5755	
Country	United States Of America	Telephone	(212)573-2369	Fax	(212)573-1939	

NAME (Print/type)

Seth H. Jacobs

Registration No. (Attorney/Agent)

32,140

Signature

Date

7/9/01

UTILITY TRANSMITTAL PTO SB 05, 3/99, (1/1)

Express Mail Cert. EL 446402275US

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997.

Small Entity payments **must** be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

**Total Amount of Payment** (\$ 710.00)

Application Number	Unassigned
Filing Date	Concurrent herewith
First Named Inventor	S. McLean
Examiner Name	Unassigned
Group/Art Unit	Unassigned
Attorney Docket No.	PC10782A

## METHOD OF PAYMENT (check one)

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-1445

Deposit Account Name Pfizer Inc

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17. ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<span style="border: 1px solid black; padding: 2px;">710.00</span>
106	320	206	160	Design filing fee	<span style="border: 1px solid black; padding: 2px;"></span>
107	490	207	245	Plant filing fee	<span style="border: 1px solid black; padding: 2px;"></span>
108	710	208	355	Reissue filing fee	<span style="border: 1px solid black; padding: 2px;"></span>
114	150	214	75	Provisional filing fee	<span style="border: 1px solid black; padding: 2px;"></span>

**SUBTOTAL (1)** (\$ 710.00)

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <span style="border: 1px solid black; padding: 2px;">10</span> -20**= <span style="border: 1px solid black; padding: 2px;">0</span> X <span style="border: 1px solid black; padding: 2px;">10</span> = <span style="border: 1px solid black; padding: 2px;">0</span>			
Independent Claims <span style="border: 1px solid black; padding: 2px;"></span> - 3**= <span style="border: 1px solid black; padding: 2px;"></span> X <span style="border: 1px solid black; padding: 2px;"></span> = <span style="border: 1px solid black; padding: 2px;"></span>			
Multiple Dependent <span style="border: 1px solid black; padding: 2px;"></span> = <span style="border: 1px solid black; padding: 2px;">0</span>			

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ 0)

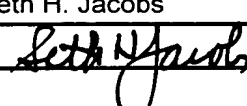
### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late fee or oath	<span style="border: 1px solid black; padding: 2px;"></span>
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	<span style="border: 1px solid black; padding: 2px;"></span>
139	130	139	130	Non-English specification	<span style="border: 1px solid black; padding: 2px;"></span>
147	2,520	147	2,520	For filing a request for reexamination	<span style="border: 1px solid black; padding: 2px;"></span>
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<span style="border: 1px solid black; padding: 2px;"></span>
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<span style="border: 1px solid black; padding: 2px;"></span>
115	110	215	55	Extension for reply within first month	<span style="border: 1px solid black; padding: 2px;"></span>
116	390	216	195	Extension for reply within second month	<span style="border: 1px solid black; padding: 2px;"></span>
117	890	217	445	Extension for reply within third month	<span style="border: 1px solid black; padding: 2px;"></span>
118	1,390	218	695	Extension for reply within fourth month	<span style="border: 1px solid black; padding: 2px;"></span>
128	1,890	228	945	Extension for reply within fifth month	<span style="border: 1px solid black; padding: 2px;"></span>
119	310	219	155	Notice of Appeal	<span style="border: 1px solid black; padding: 2px;"></span>
120	310	220	155	Filing a brief in support of an appeal	<span style="border: 1px solid black; padding: 2px;"></span>
121	270	221	135	Request for oral hearing	<span style="border: 1px solid black; padding: 2px;"></span>
138	1,510	138	1,510	Petition to institute a public use proceeding	<span style="border: 1px solid black; padding: 2px;"></span>
140	110	240	55	Petition to revive - unavoidable	<span style="border: 1px solid black; padding: 2px;"></span>
141	1,240	241	620	Petition to revive - unintentional	<span style="border: 1px solid black; padding: 2px;"></span>
142	1,240	242	620	Utility issue fee (or reissue)	<span style="border: 1px solid black; padding: 2px;"></span>
143	440	243	220	Design issue fee	<span style="border: 1px solid black; padding: 2px;"></span>
144	600	244	300	Plant issue fee	<span style="border: 1px solid black; padding: 2px;"></span>
122	130	122	130	Petitions to the Commissioner	<span style="border: 1px solid black; padding: 2px;"></span>
123	50	123	50	Petitions related to provisional applications	<span style="border: 1px solid black; padding: 2px;"></span>
126	240	126	240	Submission of Information Disclosure Statement	<span style="border: 1px solid black; padding: 2px;"></span>
581	40	581	40	Recording each patent assignment per property (times number of properties)	<span style="border: 1px solid black; padding: 2px;"></span>
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	<span style="border: 1px solid black; padding: 2px;"></span>
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	<span style="border: 1px solid black; padding: 2px;"></span>
Other Fee (specify) <span style="border: 1px solid black; padding: 2px;"></span>					<span style="border: 1px solid black; padding: 2px;"></span>
Other Fee (specify) <span style="border: 1px solid black; padding: 2px;"></span>					<span style="border: 1px solid black; padding: 2px;"></span>

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

### SUBMITTED BY

Type or Printed Name	Seth H. Jacobs	Complete (if Applicable)	
Signature		Reg. Number	32,140
	Date <span style="border: 1px solid black; padding: 2px;">9/9/01</span>	Deposit Account User ID	

CERTIFICATE OF MAILING EXPRESS MAIL

PFIZER DOCKET NO: PC10782A/SHJ

APPLICATION NUMBER: NOT YET ASSIGNED

TITLE: USE OF DELTA OPIOID RECEPTOR LIGANDS AND  
SEROTONIN REUPTAKE INHIBITORS IN THE TREATMENT  
OF CHEMICAL DEPENDENCIES

APPLICANT: S. McLean et al

"Express Mail" mailing label number EL446402275US

Date of Deposit July 9, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Michelle D. Dungee

(Signature of person mailing paper or fee)

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T06020-29ET0660